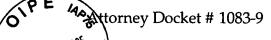
#5



**Patent** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

Takamasa Iwaki et al.

Serial No.:

10/539,870

Filed:

June 17, 2005

Title: Floor Mat and Small Animal Rearing Cage

## **RESPONSE TO NOTICE OF MISSING PARTS**

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice of Missing Parts dated November 15, 2005 for which a two month period for response ending January 15, 2006 was set, enclosed please find a Declaration executed by all the inventors along with the requisite fees of \$130.00 (surcharge).

In view of the attached certificate of First Class Mailing this response is considered timely.

No additional fee is believed due. However if an additional fee is due please charge this fee to Deposit Account No. 50-2828.

12/09/2005 LLANDGRA 00000077 10539870

01 FC:1617

130.00 OP

Dated: December 2, 2005

Respectfully submitted,

By

TRADEMARK OFFICE

United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

UNITED STATES DEPARTMENT OF COMMERCI

U.S. APPLICATION NUMBER NO PAPEN

JACK SCHWARTZ & ASSOCIATES

10/539,870

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

1083-9

Takamasa Iwaki

INTERNATIONAL APPLICATION NO.

PCT/JP03/16372

I.A. FILING DATE

PRIORITY DATE

12/19/2003

12/20/2002

**CONFIRMATION NO. 6383 371 FORMALITIES LETTER** \*OC000000017454364\*

Date Mailed: 11/15/2005

Jack Schwartz

**Suite 1510** 1350 Broadway New York, NY 10018

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/17/2005
- English Translation of the IA filed on 06/17/2005
- Copy of the International Search Report filed on 06/17/2005
- Preliminary Amendments filed on 06/17/2005
- Information Disclosure Statements filed on 09/19/2005
- Oath or Declaration filed on 06/17/2005
- Request for Immediate Examination filed on 06/17/2005
- U.S. Basic National Fees filed on 06/17/2005
- Priority Documents filed on 06/17/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

RECEIVED NOV 1 8 2005

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/539,870	PCT/JP03/16372	1083-9

FORM PCT/DO/EO/905 (371 Formalities Notice)

05 DEC 2005

PTO/SB/17 (12-04v2)

Date December 2, 2005

Approved for hrough 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known								
			Application Number 10/539			,870				
FEE TRANSMITTAL For FY 2005			_ [	Filing Date		June 17, 2005				
				First Named Inve	entor	Takamasa lwaki et al.				
Applicant plains ampli antity status. Car 27 CER 4 27			-+	Examiner Name		N/A				
Applicant claims small entity status. See 37 CFR 1.27			[	Art Unit N/A						
TOTAL AMOUNT OF PAYMENT (\$) \$130.00				Attorney Docket	No.	1083-9				
METHOD OF PAYMENT (check all that apply)										
Check ✓ Credit Card Money Order None Other (please identify):										
Deposit Account Deposit Account Number: 50-2828  Deposit Account Name: Jack Schwartz										
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)										
Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee										
Charge any additional fee(s) or underpayments of fee(s)										
under 37 CFR 1.16 and 1.17  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card										
information and authorization on PTO-2038.										
FEE CALCULATION										
1. BASIC FILING, SEARCH	H, AND E FILING F			CH FEES	EYAM	INATION	IEEES			
	Sr	mall Entity	•	<b>Small Entity</b>		Small	Entity			
ı ——— -	ee (\$)		ee (\$)		Fee (		(\$)	<u>Fe</u>	ees Paid (\$)	
, ,	300		00	250	200		-			
	200		00	50	130	6	5			
	200	-	00	150	160	8	0		· .	
	300	150 50	00	250	600	30	0		<del></del>	
	200	100	0	0	0		0			
2. EXCESS CLAIM FEES						F	ee (\$)	Small   Fee		
Fee Description Each claim over 20 (including Reissues)							50		25	
Each independent claim over 3 (including Reissues)						200	10	00		
Multiple dependent claims							360	18		
Total Claims Ex	Total Claims						Multiple Dependent Claims			
HP = highest number of total clai	ms paid for,	x 0 = , if greater than 20.					<u>ee (\$)</u>	<u>re</u>	ee Paid (\$)	
	tra Claim	<u>Fee (\$)</u>	Fee !	Paid (\$)						
3 or HP = <b>x</b> = HP = highest number of independent claims paid for, if greater than 3.										
3. APPLICATION SIZE FEE										
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer										
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
Sheets of fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets										
4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount)  Fees Paid (\$)										
Other (e.g., late filing surcharge): Surcharge for Late Declaration \$130.00										
SUBMITTED BY										
Signature / A/	A	W	F	Registration No.	721		Telepho	one 212-9	271-0416	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type)

Jagk/Schwartz



Patent Application No.10/539,870

## **CERTIFICATE OF MAILING**

I hereby certify that this Notice of Missing Parts is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the Mail Stop Missing Parts, Commissioners for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on:

<u>December 2, 2005</u>

Date

ok Schwartz

eg. No 34,721